



ACH Originator Questionnaire

Use one Questionnaire form for each DBA.

1) DBA Name: _____

2) Legal Business Name: _____

3) Fed Tax ID #: _____

4) Is there any foreign ownership in the Company? No Yes

5) Whether offering a Product or Service, provide a detailed description of the Products or Services that your Company sells:

6) Who do you offer or sell your Products or Services to? Consumers Businesses

7) How are your Products or Services offered or sold to your Customer? Storefront Online
 Telephone Other: _____

8) Does the business have a Website? No Yes Address: _____

9) Does the business have ACH training materials, policies, and/or procedures. No Yes

Other: _____

If Yes, please provide.

10) Have you, this business, or any principals of this business (defined as 25% ownership or greater) ever been the subject of a Federal or State regulatory investigation or Cease & Desist Order (even if resulting from a prior business) from any of the following agencies or bureaus? No Yes

If Yes, select all that apply: FTC DOJ CFPB FBI State Attorneys General
 Homeland Security OFAC

If Yes to any, please provide details. Use additional sheet if necessary. Provide date(s), circumstance(s), and finding(s) (fines, penalties, restitution, other):

If Lending:

11) Does the business accept electronically signed loan agreements on the website? No Yes

a) If yes, how do you ensure loans are offered only to residents of the state(s) where licensed?

12) Does the business accept signed loan agreements by fax? No Yes



13) Are borrowers always required to sign loan agreements in the store? No Yes n/a

14) Lending licensed in what states? _____, _____, _____, _____, _____, _____, _____

15) Types of Loans offered:

a) Payday b) Installment c) Auto Title d) Other (specify): _____

16) Are additional loans offered to a customer before an existing loan is paid in full? No Yes

If Yes: What is max loan amount and terms for added concurrent loan that differ from existing loan?

2nd concurrent loan amount maximum: \$ _____

2nd concurrent loan different terms: _____ (Attach additional page if needed)

17) Roll-Over Allowed:

No Yes If Yes, is there a max # of roll-overs? No Max Max of _____ roll-overs.

18) Loan APR (specify minimum and maximum based on repayment period):

Attach Loan Agreement copies Min. Annual APR: _____ % Max. Annual APR: _____ %

19) Does the business lend to members (or dependents of members) of the Armed Forces? No Yes

a) How do you identify those that are active duty members?

20) Do you have audited financials? No Yes

21) Other third party audits, i.e. state licensing? No Yes Last audit date: ____ - ____ (MM - YY)

If yes, attach a copy of the review and final report.

22) If we have any follow-up questions, who can we contact?

Name: _____ Title: _____

Phone: _____ Email: _____

I certify that I am a qualified representative of the business noted above and that the information provided by me above is true and correct to the best of my knowledge on the date shown below.

Name: _____ Signature: _____ Date: _____